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10/695,461	10/28/2003	Harumi Anne Kuno	200207002-1	5631

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HEWLETT PACKARD COMPANY  
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INTELLECTUAL PROPERTY ADMINISTRATION  
FORT COLLINS, CO 80527-2400

EXAMINER
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ZHEN, LI B

ART UNIT	PAPER NUMBER
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2194

NOTIFICATION DATE	DELIVERY MODE
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07/22/2008

ELECTRONIC

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

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<b>Office Action Summary</b>	<b>Application No.</b> 10/695,461	<b>Applicant(s)</b> KUNO ET AL.	
	<b>Examiner</b> Li B. Zhen	<b>Art Unit</b> 2194	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

#### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) ☒ Responsive to communication(s) filed on 03 April 2008.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) ☒ Claim(s) 1-4,6-16,18,19,21-23 and 25-28 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-4,6-16,18,19,21-23 and 25-28 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) ☒ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)          | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)          | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____  | 6) <input type="checkbox"/> Other: _____                          |

### **DETAILED ACTION**

1. Claims 1-4, 6-16, 18, 19, 21-23 and 25-28 are pending in the application.
2. In view of the Appeal Brief filed on 04/03/2008, PROSECUTION IS HEREBY REOPENED. New grounds of rejection are set forth below.

To avoid abandonment of the application, appellant must exercise one of the following two options:

(1) file a reply under 37 CFR 1.111 (if this Office action is non-final) or a reply under 37 CFR 1.113 (if this Office action is final); or,

(2) initiate a new appeal by filing a notice of appeal under 37 CFR 41.31 followed by an appeal brief under 37 CFR 41.37. The previously paid notice of appeal fee and appeal brief fee can be applied to the new appeal. If, however, the appeal fees set forth in 37 CFR 41.20 have been increased since they were previously paid, then appellant must pay the difference between the increased fees and the amount previously paid.

### ***Response to Arguments***

3. Applicant's arguments with respect to the claims have been considered but are moot in view of the new ground(s) of rejection.

### ***Specification***

4. The specification is objected to as failing to provide proper antecedent basis for the claimed subject matter. See 37 CFR 1.75(d)(1) and MPEP § 608.01(o). Correction

of the following is required: the specification does not provide proper antecedent basis for "computer-readable medium".

***Claim Rejections - 35 USC § 101***

5. 35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

6. Claims 13 – 16 and 18 are rejected under 35 U.S.C. 101 because the claimed invention is directed to non-statutory subject matter.

Claims 13 – 16 and 18 recite a "computer-readable medium" and the specification fails to provide antecedent bases for this limitation [see objection to the specification above]. Without antecedent basis for "computer-readable medium", it is unclear if the limitation intended to be the same as the storage media described as part of the disclosed program product or whether it's intended to be broader than the disclosed storage media. It is believed that the limitation "computer-readable medium" is intended to claim something broader than the disclosed storage media and cover signals, waves and other forms of transmission media, that carry instructions. Therefore, the limitation "computer-readable medium" is not limited to physical articles or objects which constitute a manufacture within the meaning of 35 USC 101 and enable any functionality of the instructions carried thereby to act as a computer component and realize their functionality. As such, the claim is not limited to statutory subject matter and is therefore non-statutory.

***Claim Rejections - 35 USC § 103***

7. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

8. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

9. **Claims 1-4, 6-16, 18, 19, 21-23 and 25-28 are rejected under 35 U.S.C. 103(a) as being unpatentable over “A Planner for Composing Services Described in DAML-S” to Sheshagiri et al. [hereinafter Sheshagiri] in view of “Web Services Invocation Framework” to Duftler et al. [hereinafter Duftler].**

10. As to claim 1, Sheshagiri teaches a processor-implemented method for interfacing with a distributed computing service [composes atomic/basic services described in DAML-S [4] into a composite service; Abstract], comprising:

accessing an ontology specification [DAML-S uses DAML+OIL to describe a set of ontologies for characterizing web services; Section 3] describing messages of the distributed computing service [DAML+OIL helps us to describe explicit ontologies for capturing domain language; Section 2];

accessing a semantic interpretation specification that describes rules for semantically handling the messages [used the Java Expert Shell System (JESS) to implement the planner and a set of JESS rules that translate DAML-S descriptions of atomic services into planning operator; Section 1], as specified in the ontology specification, with the distributed computing service [DAML-S specifications; Section 4];

entering the semantic interpretation specification into a rules engine adapted for providing processor executable procedures [used the Java Expert Shell System (JESS) to implement the planner and a set of JESS rules that translate DAML-S descriptions of atomic services into planning operator; Section 1 and Section 4];

obtaining a set of procedures from the rules engine for interacting with the distributed service based on the semantic interpretation specification [transform services encoded as VSO triples into a set of facts that form the planning operator; Section 4 and translate DAML-S descriptions of atomic services into planning operators; Section 1 and Section 7.3]. Sheshagiri does not specifically teach receiving a request for interfacing with the distributed service and interfacing with the distributed computing service using the set of procedures in response to the request, wherein the interfacing comprises forming distributed computing service messages based on the ontology specification.

However, Duftler teaches receiving a request for interfacing with the distributed service [service invocation, Section 4] and interfacing with the distributed computing service using the set of procedures in response to the request [interface is used by WSIF runtime to convert WSDL port into a WSIFPort, which is used to execute operations; Section 5], wherein the interfacing comprises forming distributed computing service messages based on the ontology specification [WSIF gives to its users a uniform API to access WSDL-described Web Services; Section 5].

It would have been obvious to a person of ordinary skill in the art at the time the invention was made to modify the invention of Sheshagiri to incorporate the features of Duftler. One of ordinary skill in the art at the time the invention was made would have been motivated to make the combination because this allows services to be invoked in an abstract manner, independent of protocol bindings and their implementations and allows application code that uses Web Services to remain independent of the details of the use of those Web Services [Section 6 of Duftler].

11. As to claim 7, Sheshagiri as modified teaches an apparatus, comprising:

a data transfer interface for providing data connections to a distributed computing service [Section 1 of Duftler and Section 1 of Sheshagiri]; and

a processor arranged to:

access an ontology specification [DAML-S uses DAML+OIL to describe a set of ontologies for characterizing web services; Section 3 of Sheshagiri] describing

messages of the distributed computing service [DAML+OIL helps us to describe explicit ontologies for capturing domain language; Section 2 of Sheshagiri];

access a semantic interpretation specification that describes rules for semantically handling the messages [used the Java Expert Shell System (JESS) to implement the planner and a set of JESS rules that translate DAML-S descriptions of atomic services into planning operator; Section 1 of Sheshagiri], as specified in the ontology specification [DAML-S specifications; Section 4 of Sheshagiri], used to interface with the distributed computing service [WSIF gives to its users a uniform API to access WSDL-described Web Services; Section 5 of Duftler];

enter the semantic interpretation specification into a rules engine adapted for providing processor executable procedures [used the Java Expert Shell System (JESS) to implement the planner and a set of JESS rules that translate DAML-S descriptions of atomic services into planning operator; Section 1 and Section 4 of Sheshagiri];

obtain a set of procedures from the rules engine for interacting with the data transfer service based on the semantic interpretation specification [transform services encoded as VSO triples into a set of facts that form the planning operator; Section 4 and translate DAML-S descriptions of atomic services into planning operators; Section 1 and Section 7.3 of Sheshagiri]; and

interface with the distributed computing service [service invocation, Section 4 of Duftler] via the data transfer interface using the set of procedures [interface is used by WSIF runtime to convert WSDL port into a WSIFPort, which is used to execute operations; Section 5 of Duftler], wherein the interfacing includes forming distributed



computing service messages based on the ontology specification [WSIF gives to its users a uniform API to access WSDL-described Web Services; Section 5 of Duftler].

12. As to claim 13, this is a program product claim that corresponds to method claim 1; see the rejection to claim 1 above, which also meet this program product claim.

13. As to claim 19, Sheshagiri as modified teaches a system comprising:

means for providing a distributed computing service [Section 1 of Duftler and Section 1 of Sheshagiri];

means for storing an ontology specification [DAML-S uses DAML+OIL to describe a set of ontologies for characterizing web services; Section 3 of Sheshagiri] describing messages of the distributed computing service [DAML+OIL helps us to describe explicit ontologies for capturing domain language; Section 2 of Sheshagiri];

means for storing a semantic interpretation specification that describes rules for semantically handling the messages [used the Java Expert Shell System (JESS) to implement the planner and a set of JESS rules that translate DAML-S descriptions of atomic services into planning operator; Section 1 of Sheshagiri], as specified in the ontology specification [DAML-S specifications; Section 4 of Sheshagiri], used to interface with the distributed computing service [WSIF gives to its users a uniform API to access WSDL-described Web Services; Section 5 of Duftler];

means for accessing the semantic interpretation specification for entry into a rules engine adapted for providing processor executable procedures [used the Java

Expert Shell System (JESS) to implement the planner and a set of JESS rules that translate DAML-S descriptions of atomic services into planning operator; Section 1 and Section 4 of Sheshagiri];

means for accessing an ontology describing messages of the distributed computing service [Section 1 and Section 4 of Sheshagiri];

means for obtaining a set of procedures from the rules engine for interacting with the distributed service based on the semantic interpretation specification [transform services encoded as VSO triples into a set of facts that form the planning operator; Section 4 and translate DAML-S descriptions of atomic services into planning operators; Section 1 and Section 7.3 of Sheshagiri]; and

means for forming distributed computing service messages [service invocation, Section 4 of Duftler] based on the ontology for use in the set of procedures [interface is used by WSIF runtime to convert WSDL port into a WSIFPort, which is used to execute operations; Section 5 of Duftler]; and

means for interfacing with the distributed computing service using the set of procedures [WSIF gives to its users a uniform API to access WSDL-described Web Services; Section 5 of Duftler].

14. As to claim 21, Sheshagiri as modified teaches a method of interfacing with a distributed computing service [Section 1 of Duftler and Section 1 of Sheshagiri] comprising:

receiving a message from the distributed computing service [Section 2 of Duftler];

identifying a message type of the message for processing of the message [2<sup>nd</sup> paragraph, p. 5 of Duftler];

accessing an ontology specification [DAML-S uses DAML+OIL to describe a set of ontologies for characterizing web services; Section 3 of Sheshagiri] describing the message type [DAML+OIL helps us to describe explicit ontologies for capturing domain language; Section 2 of Sheshagiri];

accessing a semantic interpretation specification describing rules for semantically handling the messages [used the Java Expert Shell System (JESS) to implement the planner and a set of JESS rules that translate DAML-S descriptions of atomic services into planning operator; Section 1 of Sheshagiri], as specified in the ontology specification [DAML-S specifications; Section 4 of Sheshagiri], with the distributed computing service based on the message type [WSIF gives to its users a uniform API to access WSDL-described Web Services; Section 5 of Duftler];

entering the semantic interpretation specification into a rules engine adapted for providing processor executable procedures [used the Java Expert Shell System (JESS) to implement the planner and a set of JESS rules that translate DAML-S descriptions of atomic services into planning operator; Section 1 and Section 4 of Sheshagiri];

obtaining a set of procedures from the rules engine for interacting with the distributed service based on the semantic interpretation specification [transform services encoded as VSO triples into a set of facts that form the planning operator; Section 4 and translate DAML-S descriptions of atomic services into planning operators; Section 1 and Section 7.3 of Sheshagiri]; and

interfacing with the distributed computing service [service invocation, Section 4 of Duftler] using the set of procedures in response to the message [interface is used by WSIF runtime to convert WSDL port into a WSIFPort, which is used to execute operations; Section 5 of Duftler], wherein the interfacing comprises forming a distributed computing service message based on the ontology specification and outputting the message [WSIF gives to its users a uniform API to access WSDL-described Web Services; Section 5 of Duftler].

15. As to claim 25, this is a system claim that corresponds to method claim 1; see the rejection to claim 1 above, which also meets this system claim.

16. As to claim 2, Sheshagiri teaches the distributed computing service comprises a Web service [web services; Section 3].

17. As to claim 3, Sheshagiri teaches the semantic interpretation specification comprises an expert system interpretable specification [used the Java Expert Shell System (JESS) to implement the planner and a set of JESS rules that translate DAML-S descriptions of atomic services into planning operator; Section 1].

18. As to claim 4, Sheshagiri teaches the semantic interpretation specification comprises rules usable with a rule-based expert system [used the Java Expert Shell System (JESS); Section 1].

19. As to claim 6, Sheshagiri as modified teaches interfacing with the distributed computing service using the set of procedures comprises forming a service bridge having a generic programmatic interface adapted to receive the request [WSIF gives to its users a uniform API to access WSDL-described Web Services; Section 5 of Duftler].

20. As to claim 8, Sheshagiri as modified teaches the data transfer interface comprises a network interface [Section 1 of Duftler and Section 1 of Sheshagiri].

21. As to claims 9 – 12, these are apparatus claims that correspond to method claims 2 – 4 and 6, respectively; see the rejection to claims 2 – 4 and 6 above, which also meet these apparatus claims.

22. As to claims 14 – 16 and 18, these are program product claims that correspond to method claims 1 – 4 and 6, respectively; see the rejection to claims 1 – 4 and 6 above, which also meet these program product claims.

23. As to claims 22 and 23, see the rejection to claims 2 and 4 above.

24. As to claims 26 and 28, these are system claims that correspond to method claims 2 and 4, respectively; see the rejection to claims 2 and 4 above, which also meet these system claims.

25. As to claim 27, Sheshagiri teaches a data storage arrangement is adapted for providing the semantic interpretation specification via a network [Section 3].

### ***Conclusion***

26. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

“Semantic Web Service Architecture Using Multi-agent Scenario Description” discloses a system that translates multi-agent scenario to DAML-S, and that registers the translated DAML-S as a new Web service.

“Ontology Support for Web Service Processes” discloses a formal ontology framework for these Web service processes that supports the description, matching, and composition through logic reasoning techniques.

“Using DAML-S for P2P Discovery” discloses mechanisms for Web services Discovery proposed so far have assumed a centralized registry that collects information about all the Web services available at any given time.

### **CONTACT INFORMATION**

27. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Li B. Zhen whose telephone number is (571) 272-3768. The examiner can normally be reached on Mon - Fri, 8:30am - 5pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Meng-Ai An can be reached on (571)272-3756. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

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